

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	09/212,915
Filing Date	12/16/1998
First Named Inventor	Hidemi Takasu
Group Art Unit	2823
Examiner Name	Michelle Estrada
Attorney Docket Number	A22838-I-A 070421.1206

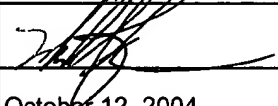
ENCLOSURES (check all that apply)

- | | | |
|---|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form
<input type="checkbox"/> Fee Attached
<input checked="" type="checkbox"/> Amendment / Reply
<input type="checkbox"/> After Final
<input type="checkbox"/> Affidavits/declaration(s)
<input checked="" type="checkbox"/> Extension of Time Request
<input type="checkbox"/> Express Abandonment Request
<input type="checkbox"/> Information Disclosure Statement
<input type="checkbox"/> Certified Copy of Priority Document(s)
<input type="checkbox"/> Response to Missing Parts/
Incomplete Application
<input type="checkbox"/> Response to Missing Parts
under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers
(for an Application)
<input type="checkbox"/> Drawing(s)
<input type="checkbox"/> Licensing-related Papers
<input type="checkbox"/> Petition
<input type="checkbox"/> Petition to Convert to a
Provisional Application
<input type="checkbox"/> Power of Attorney, Revocation
Change of Correspondence
Address
<input type="checkbox"/> Terminal Disclaimer
<input type="checkbox"/> Request for Refund
<input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication
to Group
<input type="checkbox"/> Appeal Communication to Board
of Appeals and Interferences
<input type="checkbox"/> Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Other Enclosure(s) (please
identify below):

Response to Notice of Non-
Compliant Amendment |
|---|---|--|

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	BakerBotts LLP 30 Rockefeller Plaza New York, NY 10112
Signature	 Att Name: Michael J. McNamara PTO Reg: 52,017
Date	October 12, 2004

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450 on this date: October 12, 2004

Typed or printed name	Michael J. McNamara
Signature	 Date
	October 12, 2004

Title: PROCESS FOR FABRICATING A SEMICONDUCTOR DEVICE

Use Space Below for Additional Information:

BAKER BOTTS LLP

FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$420)

Complete if Known

Application Number 09/212,915
Filing Date 12/16/1998
First Named Inventor Hidemi Takasu
Examiner Name Michelle Estrada
Art Unit 2823
Attorney Docket No. A22838-I-A 070421.1206

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number
Deposit Account Name

02-4377

Baker Botts LLP

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee required under 37CFR 1.16 and 1.17

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 770	2001 385	Utility filing fee	
1002 340	2002 170	Design filing fee	
1003 530	2003 265	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	

SUBTOTAL (1) (\$0)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	- 20 = 0	X	0
Multiple Dependent	- 3 = 0	X	0

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 86	2201 43	Independent claims in excess of 3
1203 290	2203 145	Multiple dependent claim, if not paid
1204 86	2204 43	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$0)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for ex parte reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	420
1252 420	2252 210	Extension for reply within second month	
1253 950	2253 475	Extension for reply within third month	
1254 1,480	2254 740	Extension for reply within fourth month	
1255 2,010	2255 1,005	Extension for reply within fifth month	
1401 330	2401 165	Notice of Appeal	
1402 330	2402 165	Filing a brief in support of an appeal	
1403 290	2403 145	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,300	2453 650	Petition to revive - unintentional	
1501 1,330	2501 665	Utility issue fee (or reissue)	
1502 480	2502 240	Design issue fee	
1503 630	2503 315	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180	Submission of Information Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 770	2809 385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 770	2810 385	For each additional invention to be examined (37 CFR 1.129(b))	
1801 770	2801 385	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$420)

SUBMITTED BY

(Complete if applicable)

Name (Print/Type) Michael J. McNamara Registration No. 52,017 Telephone (212) 408-2500
Signature [Signature] Date October 12, 2004



2823
A28838-I-A
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Hidemi Takasu

Serial No.: 09/212,915

Examiner: M. Estrada

Filed : December 16, 1998

Group Art Unit: 2823

For : PROCESS FOR FABRICATING A
SEMICONDUCTOR DEVICE

RESPONSE TO NOTICE OF NON-COMPLIANT AMENDMENT

I hereby certify that this paper is being deposited with the
United States Postal Service as first class mail in an envelope
addressed to: Assistant Commissioner for Patents,
P.O. Box 1450, Alexandria, VA 22313-1450, on:

October 12, 2004

Date of Deposit

Michael J. McNamara

Attorney Name


Signature

52,017

PTO Reg. No.

October 12, 2004

Date of Signature

Assistant Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

In response to the Notice of Non-Compliant Amendment (37 C.F.R. 1.121)
mailed on July 9, 2004, attached is a revised Amendment which includes a complete
listing of all of the claims, including the cancelled claim, beginning on a separate sheet of
paper. Each claim is provided with a proper status identifier.

Applicant hereby petitions for a two-month extension of time. The Commissioner is hereby authorized to charge \$420 for the petition fee, as well as any fees which may be required, or credit any overpayment, to Deposit Account Number 02-4377. A duplicate copy of this sheet is enclosed.

Respectfully submitted,



Michael J. McNamara
Patent Office Reg. No. 52,017
Attorneys for Applicant
(212) 408-2646

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Hidemi Takasu

Serial No.: 09/212,915

Examiner: M. Estrada

Filed : December 16, 1998

Group Art Unit: 2823

For : PROCESS FOR FABRICATING A
SEMICONDUCTOR DEVICE

RESPONSE TO OFFICE ACTION

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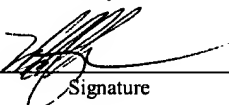
Date of Deposit

Michael J. McNamara

Attorney Name

52,017

PTO Reg. No.


Signature

October 12, 2004

Date of Signature

Assistant Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This communication is responsive to the Examiner's Action mailed December 3, 2003. Applicant hereby petitions for a two-month extension of time. The Commissioner is hereby authorized to charge \$420 for the petition fee, as well as any fees which may be required, or credit any overpayment, to Deposit Account Number 02-4377.

Amendments to the claims begin on page 2.